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**UNFOLDINGS OF COLOURED PETRI NETS**

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In this paper the unfolding technique is applied to coloured Petri nets (CPN) [8,9]. The technique is formally described, two algorithms and three finitization criteria are considered. It is also shown how to use the unfolding technique taking into consideration symmetry or equivalence specifications presented in [9]. We require CPN to be finite, n-safe and containing only finite sets of colours.

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**РАЗВЕРТКИ РАСКРАШЕННЫХ СЕТЕЙ ПЕТРИ**

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В данной работе метод развертки применен к раскрашенным сетям Петри (РСП) [8,9]. Метод формально описан, приведены два алгоритма и три критерия финитизации. Также показано как применять метод развертки, используя спецификации симметрии или эквивалентности, описанные в [9]. На РСП накладываются ограничения конечности,  $n$ -безопасности и конечности множеств, представляющих цвета.

## 1. INTRODUCTION

The state space exploring in Petri net (PN) analysis is one of the most important approaches. Unfortunately, it faces the state explosion problem. Among the approaches which are used to avoid this problem are the stubborn set method, symbolic binary decision diagrams (BDD), methods based on partial orders, methods using symmetry and equivalence properties of the state space, etc. [13].

McMillan in [11] has proposed an unfolding technique for PN analysis. In his works, instead of the reachability graph, a finite prefix of maximal branching process, large enough to describe a system, has been considered.

The size of unfolding is exponential in the general case and there are few works which improve in some way the unfolding definitions and the algorithms of unfolding construction [6,10].

Initially McMillan has proposed his method for the reachability and deadlock analysis (which has also been improved in the later work [12]). J.Esparsa has proposed a model-checking approach to unfolding of 1-safe systems analysis [5]. In [1] the model-checking technique has been applied to timed PN. In [3,7,15] LTL-based model-checking has been developed.

Unfolding of CPN has been considered in the general case in [14] for using it in the dependence analysis needed by the Stubborn Set method. In this paper the unfolding method, as it was developed in later works for ordinary PN, is applied to CPN (as they are described in [8,9]). Three types of unfoldings and two algorithms for unfolding generation are considered.

In [9] symmetry and equivalence specifications for CPN are introduced. In this paper it is shown how to use the unfolding technique taking into consideration symmetry or equivalence specifications.

The paper is organized as follows: chapter 2 gives the main definitions of the CPN's theory and the subclass we are interested in, chapters 3 and 4 introduce the unfolding theory, chapter 5 gives two algorithms of the unfolding generation, chapter 6 gives the net examples, chapter 7 describes the deadlock checking technique that uses net unfoldings, chapter 8 describes how to work with net unfoldings in the presence of symmetry or equivalence specifications.

## 2. INTRODUCTION TO COLOURED PETRI NETS

In this section we briefly give the basic definitions related to CPN and describe the subclass of colours we will use in the paper. More detailed description of coloured Petri nets can be found in [8,9].

**Definition 2.1.** A *multi-set* is a function  $m: S \rightarrow \mathbb{N}$ , where  $S$  is a usual set and  $\mathbb{N}$  is the set of natural numbers.

In the natural way we can define operations such as  $m_1 + m_2$ ,  $n \cdot m$ ,  $m_1 - m_2$ , and relations  $m_1 \leq m_2$ ,  $m_1 < m_2$ . Also  $|m|$  can be defined as  $|m| = \sum_{s \in S} m(s)$ .

Let  $\text{Var}(\text{expression})$  define the set of variables of expression and  $\text{Type}(\text{expression})$  define the type of expression.

**Definition 2.2.** A *coloured Petri net CPN* is the net

$$N = (S, P, T, A, N, C, G, E, I),$$

where  $S, P, T, A$  are the sets of colours, places, transitions, and arcs such that  $P \cap T = P \cap A = T \cap A = \emptyset$ ,  $N$  is a mapping  $N: A \rightarrow P \times T \cup T \times P$ ,  $C$  is a colour function  $C: P \rightarrow S$ ,  $G$  is a guard function such that for all  $t \in T$   $\text{Type}(G(t)) = \text{bool}$  and  $\text{Type}(\text{Var}(G(t))) \subseteq S$ ,  $E$  is the function defined on arcs with  $\text{Type}(E(a)) = C(p)_{MS}$ , where  $p$  is the place from  $N(a)$  and  $\text{Type}(\text{Var}(E(a))) \subseteq S$  and  $I$  is the initial function defined on places, such that for all  $p \in P$   $\text{Type}(I(p)) = C(p)_{MS}$ .

$A(t)$ ,  $\text{Var}(t)$ ,  $A(x, y)$ ,  $E(x, y)$  can be defined in the natural way.

**Definition 2.3.** A *binding*  $b$  is a function from  $\text{Var}(t)$  such that  $b(v) \in \text{Type}(v)$  and  $G(t) \langle b \rangle$ . The set of bindings for  $t$  will be denoted by  $B(t)$

**Definition 2.4.** A *token element* is a pair  $(p, c)$  where  $p \in P$  and  $c \in C(p)$ . The set of all token elements is denoted by  $TE$ .

**Definition 2.5.** A *binding element* is a pair  $(t, b)$  where  $t \in T$  and  $b \in B(t)$ . The set of all binding elements is denoted by  $BE$ .

**Definition 2.6.** A *marking*  $M$  is a multi-set over  $TE$ .

**Definition 2.7.** A *step*  $Y$  is a multi-set over  $BE$ .

**Definition 2.8.** A step  $Y$  is *enabled* in the marking  $M$  if for all  $p \in P$   $\sum_{(t,b) \in Y} E(p,t) \langle b \rangle \leq M(p)$  and a new marking  $M_1$  is given by

$$M_1(p) = M(p) - \sum_{(t,b) \in Y} E(p,t) \langle b \rangle + \sum_{(t,b) \in Y} E(t,p) \langle b \rangle.$$

Now we can define a subclass of colored Petri nets which is large enough to describe many interesting systems and still allows us to build a finite prefix of its branching process. In the description we follow the CPN ML notation given in [8]. The main idea is to consider only finite color domains  $s \in S$ .

The set of basic color domains is obtained from the four basic types of Standard ML (SML):

*color A = int with m..n //  $m < n$*

*color B = bool*

*color C = unit*

*color D1 = string with "x".. "y" and m..n //  $x < y$  and  $m < n$*

*color D2 = string with s1|s2|...sn // the explicit enumeration.*

Also the explicit specifications of finite colors are possible, such as:

*color E = with X1|X2|...|Xn*

*color F = index expr with m..n,*

and the sets obtained by the renaming procedure

*color G = bool with (yes,no)*

*color H = unit with e.*

From already defined color sets we can declare new color sets using constructor operators, such as:

*color I = product A1 × A2 × A3 × ... × An*

*color J = record i:A1, j:A2, ... k:An*

*color K = list A with m..n*

*color L = color A*

All functions defined in [8] and having the above described classes as their domains are allowed in our subclass. The same can be told about the variables, constants, operators and net expressions. Below we give some examples:

*Fun F1(n:A) = if n > 2 then 1 else 2*

*Fun F2(x:E) = case x of p ⇒ 2' e | q ⇒ e*

**Definition 2.9.** The CPN satisfying all the above-mentioned requirements is called *S-finite*.

**Definition 2.10.** The marking  $M$  of a CPN is *n-safe* if  $|M(p)| \leq n$  for all  $p \in P$ . A CPN is called *n-safe* if all of its reachable markings are *n-safe*. 1-safe net is also called *safe*.

**Definition 2.11.** A *preset* of an element  $x \in P \cup T$  denoted by  $\bullet x$  is the set  $\bullet x = \{y \in P \cup T \mid \exists a: N(a) = (y, x)\}$ . A *postset* of  $x$  denoted by  $x \bullet$  is the set

$$x^\bullet = \{y \in P \cup T \mid \exists a: N(a) = (x, y)\}.$$

The CPN considered in this paper are the CPN satisfying three additional properties:

1. *The number of places and transitions is finite.*
2. *The CPN is n-safe.*
3. *The CPN is S-finite.*

If the opposite is not mentioned, the term CPN has the meaning of a CPN, satisfying these three properties.

### 3. BRANCHING PROCESS OF COLOURED PETRI NETS.

Let  $N$  be a Petri net. We will use the term *nodes* for both places and transitions.

**Definition 3.1.** The nodes  $x_1$  and  $x_2$  are *in conflict*, denoted by  $x_1 \# x_2$ , if there exist transitions  $t_1$  and  $t_2$  such that  $\bullet t_1 \cap \bullet t_2 \neq \emptyset$  and  $(t_1, x_1)$  and  $(t_2, x_2)$  belong to the transitive closure of  $N$  (which we denote by  $\mathbf{R}_t$ ). The node  $x$  is in *self-conflict* if  $x \# x$ . We will write  $x_1 \leq x_2$  if  $(x_1, x_2) \in \mathbf{R}_t$  and  $x_1 < x_2$  if  $x_1 \leq x_2$  and  $x_1 \neq x_2$ .

**Definition 3.2.** We say that  $x$  *co*  $y$ , or  $x \parallel y$ , or  $x$  *concurrent*  $y$  if neither  $x < y$  nor  $x > y$  nor  $x \# y$ .

**Definition 3.3.** An *Occurrence Petri Net (OPN)* is a usual Petri net  $N = (P, T, N)$ , where

- (1)  $P, T$  are the sets of places and transitions,
- (2)  $N \subseteq P \times T \cup T \times P$  gives us the incidence function,

satisfying the following properties:

- (a) For all  $p \in P \quad |\bullet p| \leq 1$ ,
- (b)  $N$  is acyclic, i.e., the (irreflexive) transitive closure of  $N$  is a partial order.
- (c)  $N$  is finitely preceded, i.e. for all  $x \in P \cup T$  the set  $\{y \in P \cup T \mid y \leq x\}$  is finite which gives us the existence of  $\text{Min}(N)$ , the set of minimal elements of  $N$  with respect to  $\mathbf{R}_t$  (which is considered to contain only the elements from  $P$ ).
- (d) no transition is in self conflict.

Every place  $p \in P$  may have some tokens. The initial marking of an OPN  $M_0$  of  $N$  is defined by  $M_0(p) = 1$  if  $p \in \text{Min}(N)$  and empty otherwise. If for transition

$t \in T$  we have  $M(p) > 0$  for all  $p \in \bullet t$ , then  $t$  may occur and the obtained marking  $M_1$  is given by  $M_1 = M - M(\bullet t) + M(t^\bullet)$ .

**Proposition 3.1.** OPN is a 1-safe net.

**Proof.** The initial marking is 1-safe by definition. Using the restriction  $|\bullet p| \leq 1$  from the OPN definition, we have that, from the 1-safe marking by the occurrence of any  $t \in T$ , we can obtain only 1-safe marking. Otherwise we have a contradiction either with the property (b) in the case of  $p \in \text{Min}(N)$  or with the above mentioned property (a) from the OPN definition. ■

**Definition 3.4.** Let  $N_I = (P_I, T_I, N_I)$  and  $N_2 = (P_2, T_2, N_2)$  be two Petri nets. A *homomorphism*  $h$  from  $N_2$  to  $N_I$  is a mapping  $h: P_2 \cup T_2 \rightarrow P_I \cup T_I$  such that

- (a)  $h(P_2) \subseteq P_I$  and  $h(T_2) \subseteq T_I$ .
- (b) for all  $t \in T_2$   $h \mid_{\bullet t} = \bullet h(t) \rightarrow \bullet h(t)$ .  
for all  $t \in T_2$   $h \mid_{t^\bullet} = t^\bullet \rightarrow h(t)^\bullet$ .

Now we give the main definition of the chapter. This is the first novelty of the paper, a formal definition of a branching process for coloured Petri nets. After the following definition, the existence result is proven.

**Definition 3.5 :** A *branching process* of a CPN  $N_I = (S_I, P_I, T_I, A_I, N_I, C_I, G_I, E_I, I_I)$  is a tuple  $(N_2, h, \varphi, \eta)$ , where  $N_2 = (P_2, T_2, N_2)$  is an OPN,  $h$  is a homomorphism from  $N_2$  to  $N_I$ ,  $\varphi$  and  $\eta$  are the functions from  $P_2$  and  $T_2$ , respectively, such that

- (a)  $\varphi(p) \in C(h(p))$ .
- (b)  $\eta(t) \in B(h(t))$ .

Other requirements are listed bellow:

- (c)  $\text{Min}(N_2) = M_0$ .

Here and further the double equality operator means two equal multi-sets of token elements. This also can be written in the following way: for all  $p_i \in P_I$   $\sum_{(p \in A)} \varphi(p) = M_0(p_i)$ , where  $A = \{p \in \text{Min}(N_2) \mid h(p) = p_i\}$ .

- (d)  $G(h(t)) < \eta(t) >$  for all  $t \in T_2$ .
- (e)  $\forall t' \in T_2 \mid (\exists a \in A_1: N_I(a) = (p, t) \text{ and } h(t') = t) \Rightarrow$   
 $E(a) < \eta(t') > = \sum_{(p' \in I)} \varphi(p')$ , where  $I = \{p' \in \bullet t' \mid h(p') = p\}$ .  
 $\forall t' \in T_2 \mid (\exists a \in A_1: N_I(a) = (t, p) \text{ and } h(t') = t) \Rightarrow$   
 $E(a) < \eta(t) > = \sum_{(p' \in I)} \varphi(p')$ , where  $I = \{p' \in (t, b)^\bullet \mid h(p') = p\}$ .
- (f) If  $(h(t_1) = h(t_2))$  and  $(\eta(t_1) = \eta(t_2))$  and  $(\bullet t_1 = \bullet t_2)$  then  $t_1 = t_2$ .

**Important Note:** Using the first two properties, we can associate a token element  $(p, c)$  of  $N_I$  with every place in  $N_2$  and the binding element  $(t, b)$  of  $N_I$  with

every transition in  $N_2$ . So we can further consider the net  $N_2$  as containing the places which we identify with token elements of  $N_1$ , and transitions which we identify with binding elements of  $N_1$ . So we sometimes use them instead, like  $h((t,b))=t$  means  $h(t')=t$  and  $\eta(t')=b$  or  $p \in \bullet(t,b)$  means  $p \in \bullet t'$  and  $h(t')=t$  and  $\eta(t')=b$ . Analogously, we can consider  $(p,c) \in P_2$  as  $p' \in P_2$  and  $h(p')=p$  and  $\phi(p)=c$ . Also,  $h(p,c)=p$  and  $h(t,b)=t$ .

It can be shown that any finite CPN has a maximal branching process (MBP) up to isomorphism (proposition 3.2). We can declare existence of the maximal branching process when considering the algorithm of its generation. As such an algorithm we choose the algorithm of unfolding generation proposed by McMillan [11] and applied to coloured Petri nets.

### **Maximal Branching Process generation algorithm**

```

var:  $P_2, T_2, N_2$ ;
// Places and transitions are natural numbers,  $N_2$  is the set of pairs (m,n).
H_Table = {Ph_table[], Th_table[]}
// This is a table for storing a homomorphism and functions  $\phi$  and  $\eta$ 
// Ph:  $n \rightarrow (p,c)$ , Th:  $m \rightarrow (t,b)$ .
T_Fired;
// The list of waiting binding elements.
m, n : integer;
// The place and transition under construction.
// Using H_Table for simplification of the algorithm, we sometimes write
//(p,c) and (t,b) instead of the corresponding n and m.

```

```

begin
H_Table:=empty;
 $N_2 = (P_2, T_2, N_2) = \emptyset$ ; n:=1; m:=1;
for all  $p \in P_1$  such that  $|I(p)| > 0$  do
  for all  $c \in I(p)$  do
    begin
      add(n,  $P_2$ );
      n:=n+1;
      GenTr({n-1});
    end;
While (T_Fired  $\neq \emptyset$ ) do
  begin
     $m_0 = \text{head}(T\_Fired) = (t,b)$ ;

```

```

delete(m0, T_Fired);
for all a ∈ A1 such that N1(a) = (t,p) do
  for all c ∈ E(a) <b> do
    begin
      Ph_table[n] := (p,c);
      add((m0,n), N2);
      add(n,P2);
      n := n+1;
      GenTr({n-1})
    end;
  end;
return N2 = ( P2, T2, N2);
end.

procedure GenTr(N);
begin
if (¬∃t ∈ T1 | N ⊆•t) then return
if Predecessors(N) has forward conflict then return
for all (t,b) ∈ TE such that h(N) =•t do
  if (t,b) is enabled in M == N then
    // i.e M = Ph_table[N]
    begin
      add( (N,m), N2);
      insert m = (t,b) in T_Fired in order of |LocalConfig(m)|
      Th_table[m] := (t,b);
      add(m,T2);
      m := m+1;
    end;
  for all n ∈ P2 \ N do
    GenTr(N ∪ {n});
end.
end.

```

**Proposition 3.2.** The algorithm gives us the maximal branching process MBP(N<sub>1</sub>) of N<sub>1</sub>.

**Proof:**

(1) N<sub>2</sub> = ( P<sub>2</sub>, T<sub>2</sub>, N<sub>2</sub>) is an Occurrence Petri Net (OPN).

(a) |<sup>•</sup>p| ≤ 1. We can come to a situation of having N<sub>2</sub>(m,n) only when calling add((m,n),N<sub>2</sub>). It is called together with add(n,P<sub>2</sub>) and the increasing of n by one.

- (b) The obtained net is acyclic. While the value of  $n$  grows monotonically, the cycle is impossible.
- (c) The net is finitely preceded. Since the initial CPN is finite and S-finite,  $I(p)$  is also finite.
- (d) No transition is in self-conflict. This is checked directly in  $\text{GenTr}(N)$ .
- (2)** A homomorphism  $h$  is given by  $H\_Table$ .
  - (a)  $h(P_2) \subseteq P_1$ ,  $h(T_2) \subseteq T_1$ . This can be seen directly from the  $H\_Table$ .
  - (b) for all  $t \in T_2$   $h \mid \bullet_t = \bullet^t \rightarrow \bullet^h(t)$ . This means  $\bullet^t(t,b) \rightarrow \bullet^t$ , which follows from the condition  $h(N) = \bullet^t$  in  $\text{GenTr}(N)$ .  
for all  $t \in T_2$   $h \mid \bullet_t = \bullet^t \rightarrow h(t)^*$ . This follows from the condition  $N_1(a) = (t,p)$  followed by the procedure  $\text{add}((m_0,n), N_2)$ , where  $m_0 = (t,b)$  and  $\text{Ph\_table}[n] = (p,c)$  in the main part of the algorithm.

**(3)** The algorithm gives us the Branching Process of  $N_2 = (P_2, T_2, N_2)$ .

(a,b) The functions  $\varphi(p) \in C(h(p))$  and  $\eta(t) \in B(h(t))$  are given by the  $H\_Table[]$ .

(c)  $\text{Min}(N_2) = M_0$ .

$$M_0(p) = I(p) = \sum_{(c \in I(p))} c.$$

By the algorithm construction:

$$M = \text{Min}(N_2) = \sum_{(p \in P_1 \mid |I(p)| > 0)} \sum_{(c \in I(p))} (p,c).$$

$$\text{If } (I(p) \neq \emptyset) \text{ } M(p) = \sum_{(c \in I(p))} c = M_0(p).$$

(d) The fact  $G(h(t,b)) \langle b \rangle$  follows from the way we choose  $(t,b)$  to be added to  $T\_Fired$ .  $(t,b)$  is enabled  $\Rightarrow G(t) \langle b \rangle \Rightarrow G(h(t,b)) \langle b \rangle$ .

(e)  $\forall (t,b) \in T_2 \mid (\exists a: N_1(a) = (p,t)) \Rightarrow E(a) \langle b \rangle = \sum_{(p' \in I)} \varphi(p')$ ,

where  $I = \{p' \in \bullet^t(t,b) \mid h(p') = p\}$ .

$(t,b)$  is included in  $T\_Fired$  iff  $(t,b)$  is enabled in  $M = N$  where  $h(N) = \bullet^t$ .

$\Rightarrow E(p,t) \langle b \rangle \leq M(p)$ . In our case  $E(a) \langle b \rangle = E(p,t) \langle b \rangle = M(p)$ , since the argument  $N$  in  $\text{GenTr}(N)$  is increased monotonically by one.

$$M(p) = \sum_{(p,c) \in N} c = \sum_{(p' \in I)} \varphi(p')$$

$$\forall (t,b) \in T_2 \mid (\exists a: N_1(a) = (t,p)) \Rightarrow E(a) \langle b \rangle = \sum_{(p' \in I)} \varphi(p'),$$

where  $I = \{p' \in (t,b)^* \mid h(p') = p\}$ .

When constructing the output places of  $(t,b)$ , we do the following:

for all  $a \in A_1$  such that  $N_1(a) = (t,p)$  do

for all  $c \in E(a) \langle b \rangle$  do

begin

Ph\_table[n] := (p,c);

add((m\_0,n), N\_2);

add(n,P\_2);

...  
end;

Here  $m_0=(t,b)$ , so  $E(a)\langle b \rangle = \sum_{(c \in E(a)\langle b \rangle)} c = \sum_{(p' \in I)} \phi(p')$ .

(f) If  $(h(t_1)=h(t_2))$  and  $(\eta(t_1)=\eta(t_2))$  and  $(\bullet t_1 = \bullet t_2)$ , then  $t_1=t_2$ .

The fact follows from the impossibility of  $N_1 = N_2$ , such that  $\text{GenTr}(N_1)$  and  $\text{GenTr}(N_2)$  both are called.

The algorithm  $\text{GenTr}(N)$  starts with  $\{n\}$  and increases this set by passing through the subsets of  $\{1..n-1\}$  and adding them to  $\{n\}$ .

**(4)** The obtained Branching Process is maximal.

It is sufficient to prove that we cannot add one more transition  $(t,b)$  to  $N_2$ . After adding additional places or arcs, we obtain direct contradictions to definition 3.5 (c) or (e).

If the transition  $(t,b)$  was added, then consider the set  $N=\bullet(t,b)$ .

Let  $n$  be the maximal element in  $N$ . Then, when adding  $n$  to  $P_2$ , we should call  $\text{GenTr}(\{n\})$  which should find the set  $N$  and generate the transition  $(t,b)$ . ■

This branching process can be infinite even for the finite nets if they are not acyclic. We are interested to find a finite prefix of a branching process large enough to represent all the reachable markings of the initial CPN. This finite prefix will be called an unfolding of the initial CPN. In the next section we give the definitions of a configuration, cutoff points and the definition of unfolding of CPN.

#### 4. UNFOLDINGS OF CPN

**Definition 4.1.** A *configuration*  $C$  of an OPN  $N = (P,T,N)$  is a set of transitions satisfying the following two conditions:

- (1)  $t \in C \Rightarrow$  for all  $t_0 \leq t : t_0 \in C$
- (2) for all  $t_1, t_2 \in C : \neg(t_1 \# t_2)$ .

**Definition 4.2.** A set  $X_0 \subseteq X$  of nodes is called a *co-set*, if for all  $t_1, t_2 \in X_0: (t_1 \text{ co } t_2)$ .

**Definition 4.3.** A set  $X_0 \subseteq X$  of nodes is called a *cut*, if it is a maximal co-set with respect to the set inclusion.

Finite configurations and cuts are closely related. Let  $C$  be a finite configuration of an occurrence net, then  $\text{Cut}(C) = (\text{Min}(N) \cup C^*) \setminus \bullet C$  is a cut.

**Definition 4.4.** Let  $N_I = (S_1, P_1, T_1, A_1, N_1, C_1, G_1, E_1, I_1)$  be a CPN and  $\text{MBP}(N_I) =$

$(N_2, h, \varphi, \eta)$ , where  $N_2 = (P_2, T_2, N_2)$ , be its maximal branching process. Let  $C$  be a configuration of  $N_2$ . We define a marking  $Mark(C) = Cut(C)$  which is a marking of  $N_1$ . Operator " $\equiv$ " has the same meaning as in definition 3.5  $Mark(C)(p) = \sum_{(p' \in Cut(C) \mid h(p') = p)} M_2(p')$ .

**Definition 4.5.** Let  $N$  be an OPN. For all  $t \in T$  the configuration  $[t] = \{t' \in T \mid t' \leq t\}$  is called a *local configuration*. (The fact that  $[t]$  is a configuration can be easily checked).

Let us consider the maximal branching process for a given CPN. It can be noticed that  $MBP(N)$  satisfies the completeness property, i.e., for every reachable marking  $M$  of  $N$  there exists a configuration  $C$  of  $MBP(N)$  ( i.e.,  $C$  is the configuration of OPN) such that  $Mark(C) = M$ . Otherwise we could add a necessary path and generate a larger branching process. This would be a contradiction with the maximality of  $MBP(N)$ .

Now we are ready to define three types of cutoffs used in the definition of unfolding. The first two definitions can be found in [5,11]. The last is the definition given in [10].

**Definition 4.6.** A transition  $t \in T$  of an OPN is a *GT<sub>0</sub>-cutoff*, if there exists  $t_0 \in T$  such that  $Mark([t]) = Mark([t_0])$  and  $[t_0] \subset [t]$ .

**Definition 4.7.** A transition  $t \in T$  of an OPN is a *GT-cutoff*, if there exists  $t_0 \in T$  such that  $Mark([t]) = Mark([t_0])$  and  $|[t_0]| < |[t]|$ .

**Definition 4.8.** A transition  $t \in T$  of an OPN is a *EQ-cutoff*, if there exists  $t_0 \in T$  such that

- (1)  $Mark([t]) = Mark([t_0])$
- (2)  $|[t_0]| = |[t]|$
- (3)  $\neg(t \parallel t_0)$
- (4) there are no EQ-cutoffs among  $t'$  such that  $t' \parallel t_0$  and  $|[t']| \leq |[t_0]|$ .

**Definition 4.9.** For a coloured Petri net  $N$ , an *unfolding* is obtained from the maximal branching process by removing all the transitions  $t'$ , such that there exists a cutoff  $t$  and  $t < t'$ , and all the places  $p \in t^*$ . If Cutoff = GT<sub>0</sub>(GT)-cutoffs, then the resulted unfolding is called *GT<sub>0</sub>(GT)-unfolding*. GT<sub>0</sub>(GT)-unfolding is also called the *McMillan unfolding*. If Cutoff = GT-cutoffs  $\cup$  EQ-cutoff, then the resulted unfolding is called *EQ-unfolding*.

It has been shown that the McMillan unfoldings are inefficient in some cases. The resulting finite prefix grows exponentially, when the minimal finite prefix has only a linear growth.

The following proposition can be formulated for these three types of unfoldings.

**Proposition 4.2.** EQ-unfolding  $\leq$  GT-unfolding  $\leq$  GT<sub>0</sub>-unfolding.

**Proof:** From the cutoff definitions, we have GT<sub>0</sub>-cutoffs  $\subset$  GT-cutoff. By the definition of the McMillan unfolding, we have GT-unfolding  $\leq$  GT<sub>0</sub>-unfolding. In the definition of EQ-unfolding, Cutoff = GT-cutoffs  $\cup$  EQ-cutoff and the rules for the unfolding construction are stronger. So we have EQ-unfolding  $\leq$  GT-unfolding. ■

The following theorem presents the main result of this chapter.

**Theorem 1.** Let  $N_I$  be a CPN. Then for its unfoldings we have:

- (1) EQ-unfolding, GT-unfolding and GT<sub>0</sub>-infolding are finite.
- (2) EQ-unfolding, GT-unfolding and GT<sub>0</sub>-infolding are safe, i.e., if  $C$  and  $C'$  are configurations, then  $C \subseteq C' \Rightarrow \text{Mark}(C') \in [\text{Mark}(C)]$ .
- (3) EQ-unfolding, GT-unfolding and GT<sub>0</sub>-infolding are complete, i.e.,  $M \in [M_0] \Rightarrow$  there exists a configuration  $C$  such that  $\text{Mark}(C) = M$ .

**Proof:**

(1) Using proposition 4.2, we only need to prove the finiteness of GT<sub>0</sub>-infolding. This will be done in three steps.

(a) Let  $d(t)$  denote the depth of the longest chain  $t_1 < t_2 < \dots < t$  in GT<sub>0</sub>-unfolding. For all  $t \in T$ ,  $d(t) \leq M+1$ , where  $M$  is the number of reachable markings in  $N$ .  $M$  is finite because of the properties we require of the CPN used.

(b) For all  $t' \in \text{GT}_0\text{-unfolding}$ ,  $t'^{\bullet}$  and  $\bullet t'$  are finite. Let  $t' = (t, b)$ . From the definition (e) of a branching process, we have  $\forall (t, b) \in T_2 \mid (\exists a: N(a) = (p, t)) \Rightarrow E(a) < b > = \sum_{(p' \in I_p)} \varphi(p')$ , where  $I_p = \{p' \in \bullet(t, b) \mid h(p') = p\}$ .  $\bullet t' = \{I_p \mid \forall p \in \bullet t'\}$ .

Notice that  $|E(a)| = |I_p|$ . The multi-sets we consider in the paper are  $m$  such that  $|m| < \text{const}$ . It follows that  $|E(a)| < \text{const}$ . The finiteness of  $|\bullet t|$  follows from the finiteness of  $N_I$  and finally:  $|\bullet t'| = \sum_{(p \in \bullet t)} |I_p| < \text{const}$ . Using definition 3.5 (e) part 2, we can prove analogously that  $|t'^{\bullet}| < \text{const}$ .

(c) For all natural  $K$  there exists only finite number of transitions  $t \in T$  such that  $d(t) \leq K$ . We prove this by induction on  $K$ . The base  $K = 0$  is true. Let  $T_K = \{t \mid d(t) \leq K\}$  be a finite set. Let us prove the finiteness of  $T_{K+1}$ .  $T_K^{\bullet}$  is finite by (b)

and the induction hypothesis.  $\bullet T_{K+1} \subseteq T_K \bullet \cup \text{Min}(N)$ .  $\bullet T_{K+1}$  is finite. Using the property (f) in the definition of a branching process, we have the finiteness of  $T_{K+1}$ .

(2) The fact that the unfolding of  $N$  is safe follows immediately from the safety of the branching process of  $N$  which can be proven by induction on  $|E|=|C' \setminus C|$ . Let us denote by  $C \oplus E$  the fact that  $C \cup E$  is a configuration and  $C \cap E = \emptyset$ .

(a) the base:  $|E| = 1$ , i.e.,  $E = \{(t, b)\}$  and  $C' = C \oplus \{(t, b)\}$ .  $\text{Cut}(C)$  is a marking of OPN. While  $C$  is causally closed, i.e.,  $\forall (t', b') < (t, b)$  such that  $(t', b') \in C$ , we have  $\bullet(t, b) \subseteq \text{Cut}(C)$ . In the OPN we have:  $\text{Cut}(C') = \text{Cut}(C) - \bullet(t, b) + (t, b) \bullet$ . Applying definition 3.5(e) and the homomorphism definition, we obtain:

$$\sum_{(p \in \bullet t)} \text{Mark}(C')(p) = \sum_{(p \in \bullet t)} \text{Mark}(C)(p) - \sum_{(p \in \bullet t)} E(a) \langle b \rangle + \sum_{(p \in t \bullet)} E(a) \langle b \rangle.$$

Besides, by definition 3.5 (d),  $G(h(t, b)) \langle b \rangle$ . This means that  $\text{Mark}(C') \in [\text{Mark}(C)]$ , because it is obtained by the occurrence of  $(t, b)$ .

(b) If  $E = \{(t_1, b_1) \dots (t_n, b_n)\}$ , then choose  $(t_i, b_i) \in E$  such that there is no  $(t_j, b_j) \in E$  such that  $(t_j, b_j) > (t_i, b_i)$ . Consider the configuration  $C_1 = C \oplus (E \setminus \{(t_i, b_i)\})$  (It's easy to see that it's a configuration).  $\text{Mark}(C_1) \in [\text{Mark}(C)]$ . Using the base step considerations, we have  $\text{Mark}(C') \in [\text{Mark}(C_1)]$  and finally  $\text{Mark}(C') \in [\text{Mark}(C)]$ .

(3) Accordingly to proposition 4.2, it's sufficient to prove that EQ-unfolding of  $N$  is complete. Having the completeness of  $\text{MBP}(N)$ , we will prove the following result. Let  $C'$  be a configuration of  $\text{MBP}(N)$ . Then there exists a configuration  $C$  of EQ-unfolding of  $N$ , such that  $\text{Mark}(C) = \text{Mark}(C')$ . If  $C'$  contains no cutoffs, then  $C'$  is the necessary configuration.

Else let  $C_1 \dots C_n$  be all configurations of  $\text{MBP}(N)$  of minimal size such that  $\text{Mark}(C_1) = \text{Mark}(C_2) = \dots = \text{Mark}(C_n)$ . This set is finite. Let for all  $i$   $C_i \notin \text{EQ}$ -unfolding. Let us seek for a contradiction. The previous means that  $C_i$  has at least one cutoff point. Let  $C_j$  contain the cutoff  $t_1$  of maximal depth. There exists  $t_2 \in \text{EQ}$ -unfolding, such that  $\text{Mark}([t_1]) = \text{Mark}([t_2])$ . We have two possibilities:

(a)  $t_2$  is a GT-cutoff. This means that  $\| [t_2] \| < \| [t_1] \|$ . If  $C_1 \subset C_2$ , then there exists  $E$  such that  $C_1 \oplus E = C_2$ . In our case  $C_j = [t_1] \oplus E$ . Let  $C^* = [t_2] \oplus E$ . We have  $\text{Mark}(C^*) = \text{Mark}(C_j)$  and  $C^* < C_j$ . So we have a contradiction, because  $C_j$  has a minimal size.

(b)  $t_2$  is an EQ-cutoff. This means that  $\| [t_2] \| = \| [t_1] \|$ . Choose a configuration  $C_k$  among  $C_1 \dots C_n$  containing  $t_2$ .  $C_k = [t_2] \oplus E$ , where  $E$  is such that  $C_j = [t_1] \oplus E$  and we have  $|C_k| = |C_j|$ . Since  $\neg(t_1 \parallel t_2)$ , we have  $t_1 \notin C_k$  and  $C_k \neq C_j$ .  $C_k$  contains no cutoffs of depth  $n > \| [t_1] \|$ , because  $t_1$  is of maximal depth among  $C_1 \dots C_n$ . Also  $C_k$

contains no cutoffs preceding  $t_2$ , because  $t_2 \in \text{EQ-unfolding}$ . This means that all cutoffs in  $C_k$  must be concurrent with  $t_2$ . Since  $t_2$  is an image of EQ-cutoff  $t_1$ , then by definition 4.8(4) every cutoff  $t_3 \in C_k$  is a GT-cutoff and its image  $t_4$  is such that  $||[t_3]|| < ||[t_4]||$  and we can apply the reasoning of the first case. ■

## 5. ALGORITHMS FOR FINITE PREFIX GENERATION.

In this section we give two algorithms: McMillan's algorithm and EQ-unfolding algorithm (the name doesn't mean that we cannot construct a McMillan unfolding by the second algorithm using the appropriate cutoff criteria). All unfoldings here will be constructed by the breadth-first traversal algorithms. The algorithm for generation of  $\text{GT}(\text{GT}_0)$ -unfolding is taken from [11] and the algorithm for construction of EQ-unfolding is taken from [10] and the latter is rather efficient in the speed of unfolding generation. In the case of an ordinary PN it gives the overall complexity  $O(N_P N_T)$ , where  $N_P$  and  $N_T$  are the numbers of places and transitions in EQ-unfolding. In our case a close estimation holds if we don't take into consideration the calculation complexity of arc and guard functions. In this case we obtain  $O(N_P N_T B)$ , where  $B = \max\{|B(t)| \mid t \in T_{\text{CPN}}\}$ . In the general case the first algorithm has an exponential complexity. Although the EQ-unfolding cannot guarantee the minimal sizes of  $N_P$  and  $N_T$  as it was made for 1-safe systems in [6], the size of EQ-unfolding is still much smaller in some cases than that of  $\text{GT}(\text{GT}_0)$ -unfoldings (which may grow exponentially). In the second algorithm we should store additionally two matrices  $N_P^2$  and  $N_T^2$ .

### McMillan's algorithm of $\text{GT}(\text{GT}_0)$ -unfolding generation

```

var: P2, T2, N2;
H_Table = {Ph_table[], Th_table[]}
Hash_table[];
// HashTable for storage of local configurations.
T_Fired;
m, n : integer;
begin
H_Table:=empty; Hash_table:=empty;
N2 = ( P2, T2, N2 ) := ∅; n:=1; m:=1;
for all p ∈ P1 such that |I(p)| > 0 do
  for all c ∈ I(p) do
    begin

```

```

    add(n , P2);
    n:=n+1;
    GenTr({n-1});
end;
While (T_Fired ≠ ∅) do
begin
    m0: = head(T_Fired) = (t,b);
    delete(m0,T_Fired);
    for all a∈A1 such that N1(a) = (t,p) do
        for all c∈E(a)<b> do
            begin
                Ph_table[n]:= (p,c);
                add((m0,n), N2);
                add(n,P2);
                n:=n+1;
                if not cutoff(m0)
                    GenTr({n-1})
            end;
        end;
    end;
return N2= ( P2,T2,N2);
end.

procedure GenTr(N);
begin
if (¬∃t∈T1 | N⊆•t) then return
if Predecessors(N) has forward conflict then return
for all (t,b)∈TE such that h(N)=•t do
    if (t,b) is enabled in M==N then
        // i.e M = Ph_table[N]
        begin
            add( (N,m), N2);
            insert m = (t,b) in T_Fired in order of |LocalConfig(m)
            Th_table[m]:= (t,b);
            add(m,T2);
            m:=m+1;
        end;
for all n∈P2 \ N do
    GenTr(N∪{n});
end.

```

```

function cutoff(m): bool;
begin
  M:=Cut(LocalConfig(m));
  for all t∈Hash_table[M] do
  // for GT0-unfolding : if t∈ LocalConfig(m)
  if (size(LocalConfig(t)) < size(LocalConfig(m)) ) return
  endfor
  add(m , Hash_Table[M]);
  return false;
end;

```

If we have (t,b) enabled in few ways at the same step, we take all the possibilities into consideration, although the choice of a the unique set doesn't spoil the completeness of MBP. The obtained net  $N_2$  is evidently the prefix of MBP( $N_2$ ), and accordingly to the definition of a cutoff function it gives us GT(GT<sub>0</sub>)-unfolding of  $N_2$ . Due to the finiteness of GT<sub>0</sub>-unfolding, the algorithm is correct.

EQ-unfolding will be constructed by a breadth-first traversal, tier by tier. A tier contains transitions of the same depth. We need two tiers to be stored: Current\_tier and New\_tier.

We need to store an array of transitions (TFired) and two matrices of the ordered relations Relation\_T and Relation\_P which are constructed on-the-fly. These matrices contain information about precedence, conflict and concurrency relations in the part of the unfolding which is already generated. On-the-fly construction of these matrices is made by inheriting the relations from the transitions (places) that serve as direct predecessors. For example, we will write the inheritance rules for transitions

- Precedence  $t_j \Rightarrow t_i$ , i.e  $t_j \leq t_i$ 
  - (1)  $t_j \in \bullet(t_i)$
  - (2)  $t_j \Rightarrow t_k$  and  $t_k \in \bullet(t_i)$
- Conflict  $t_j \# t_i$ 
  - (1)  $\bullet t_j \cap \bullet t_i \neq \emptyset$
  - (2)  $t_j \# t_k$  and  $t_k \in \bullet(t_i)$
  - (3)  $t_k \Rightarrow t_j$  and  $\bullet t_k \cap \bullet t_i \neq \emptyset$

### **EQ-unfolding algorithm**

```

begin
  Reached = empty; TFired = empty; Current_T_tier = empty;
  Current_P_tier = {M0'}; // h(M0') = M0.
  do begin

```

```

    Reached = Reached  $\cup$  Current_tier;
    generate_new_tier;
    Current_T_tier = New_T_tier;
    Current_P_tier = New_P_tier;
    is_unfolding_correct(Current_tier);
    while (Current_P_tier  $\neq$  empty)
    return Reached;
end.

procedure generate_new_tier;
begin
    New_T_tier = empty; New_P_tier = empty;
    for all (p,c) $\in$ Current_P_tier  $\setminus$  {(p,c) | cutoff $\in$ *(p,c)} do
        for all (t,b) $\in$ TE | t $\in$ p* do
            if enabled((t,b)) then
                // function enabled() uses the matrix Np2 for choosing the possible
                // sets of places containing (p,c) and having no forward conflicts.
                TFired = TFired  $\cup$  (t,b);
                New_T_tier = {tj $\in$ TFired |  $\forall$ tk $\in$ TFired [| tj |]  $\leq$  [| tk |] }
                // TFired kept hashed by the length of [t].
                TFired = TFired - New_T_tier;
                Update_Relations_T(New_T_tier);
                Check_cutoff(New_T_tier);
                for all (t,b) $\in$ New_T_tier do
                    begin
                        New_P_tier = New_P_tier  $\cup$  {(pi,cj) | ( $\cup$ pi = t*) & ( $\sum$ cj = E(t,p)<b>)}
                        Update_Relations_P( (t,b) );
                    end;
                end;
            end;
        end;
    end;
end;

```

The cutoff checking is made using the definitions and the relation matrices (see [10]. Here also the more detailed description of the algorithm can be found).

It takes  $O(N_T^2)$  steps to calculate cutoffs = GT-cutoffs  $\cup$  EQ-cutoffs. Instead of  $O(RO_p)$  for ordinary PN, we call the function enabled((t,b)) for every place of CPN  $O(RO_pB)$  times ( $O_p$  is the maximal fan-out set, B is the maximal set of bindings, R is some constant, see[10]). The overall complexity of the algorithm for coloured Petri nets is  $O(N_p N_T B)$ , where  $B = \max \{|B(t)| | t \in T_{CPN}\}$ .

Initially we put  $t_j \parallel t_i$  and  $p_j \parallel p_i$ .

Finally let us notice that, due to the symmetry of the conflict and concurrency relations and asymmetry of the precedence relation, the matrices can be kept triangle.

### 6. NET EXAMPLES

As an example let us consider the CPN representing the problem of dining philosophers (Fig. 1). For this net we have

$GT_0$ -unfolding = GT-unfolding = EQ-unfolding.

Unfoldings of this net are represented on Fig.2. As it can be seen from the table bellow, the size of unfoldings is linear in the number of philosophers while the number of reachable markings is exponential.

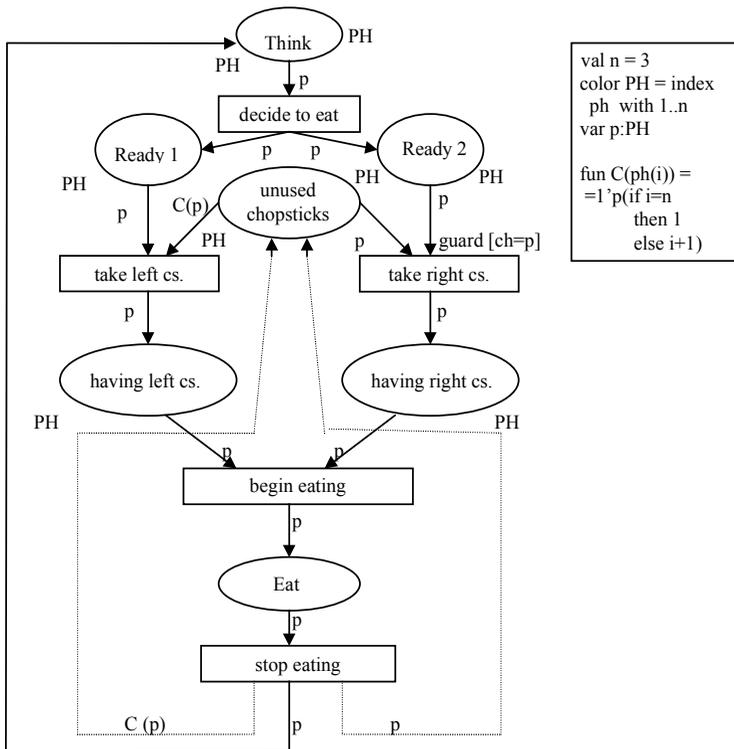


Fig.1. The Dining Philosophers Example

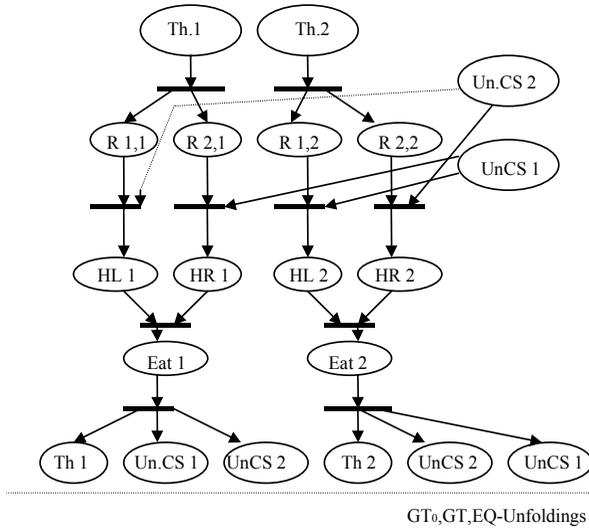


Fig. 2. Unfolding of the Dining Philosophers Example

N	the unfolding sizes (the numbers of transitions) $GT_0, GT, EQ$ -unfoldings	Reachable Markings
2	10	22
3	15	100
4	20	466
5	25	2164

We measure the unfolding size by the number of transitions, because when storing the information about each place in every reachable marking, we have the analogy with storing the fan-out places for every transition. (Anyway, the number of fan-out places is restricted by some constant and doesn't spoil the linear growth of the unfolding size).

As can be seen from the table, the sizes of all unfoldings are equal. In the next example we have the exponential growth of  $GT_0$  and  $GT$ -unfoldings  $O(2^n)$ , when the  $EQ$ -unfolding has only the linear growth  $O(n)$ . The net is shown on Fig.3.

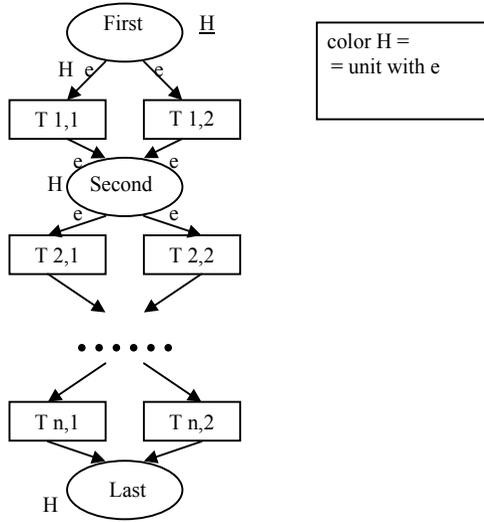


Fig. 3. An example of exponential growth of the McMillan unfolding

The last example is taken from [9] and represents the producer-consumer system (Fig.4). We consider the case when  $nb=1$ . The number of reachable markings is  $N = (1+c+2*c*d+2*c*d^2)^p (1+p+2*p*d+2*p*d^2)^c (1+p*c*d^2)$ .

The unfolding with  $np=nc=nd=1$  is represented in Appendix. The unfolding consists of four parts. When a producer initially produces data, the part labelled PA is working (see Appendix). Part PB may work after a producer laid the first data to the buffer, but a consumer still cannot begin his part. Finally, PC is the part when a consumer definitely begins his work and a producer fulfills the buffer again. A consumer has the unique part CA. We have  $|PA|=|PB|=|CA|=5$  and  $|PC|=4$ . The whole size is 19.

When adding either one more producer or one more consumer, we come to the situation of doubling of  $|PA|$ ,  $|PB-1|$  and  $|CA|$  and adding the square of the number of parts  $|PC+1|$ . Adding one more data acts as adding the square number of possibilities. Finally the size of the unfolding is  $UnfSize = |PA|*np*nc*nd^2 + |CA|*np*nc*nd^2 + |PB-1|*np*nc*nd^2 + |PC+1|*(np*nc*nd^2)^2$ .

```

val np=5; val nc=5; val nb=2; val nd=5;
color Prod = index with 1..np
color Cons = index with 1..nc
color Data = index with 1..nd
color Prod×Cons = product Prod×Cons
color HalfPack = product Prod×Cons×Data
color FullPack = product Prod×Cons×Data×Data
color ListFullPack = list FullPack
var p:Prod; var c:Cons; var d1,d2:Data
var List:ListPack

```

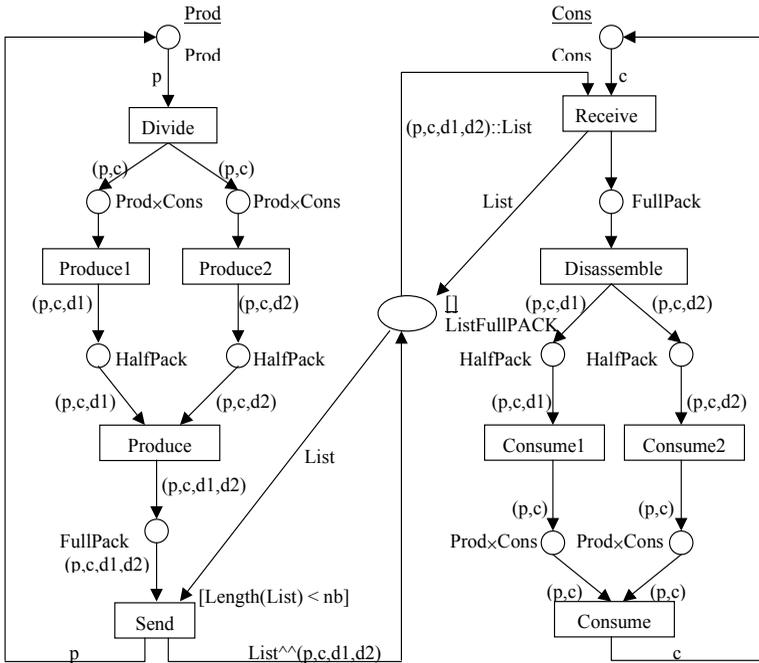


Fig. 4. Producer/Consumer system

The table below demonstrates the growth of the occurrence graph and the respective growth of the unfolding's size. In Chapter 8 we give the same numbers for the occurrence graph and unfolding with a consistent equivalence.

p	c	d	O-graph	Unfolding's Size
1	1	1	72	19
2	2	2	$9.03 * 10^6$	$1.4 * 10^3$
3	3	3	$1.58 * 10^{13}$	$3.3 * 10^4$
5	5	5	$4.5 * 10^{27}$	$1.95 * 10^6$
10	10	5	$1.32 * 10^{59}$	$3.1 * 10^7$
10	10	10	$7.8 * 10^{70}$	$5.0 * 10^8$
20	20	20	$1.73 * 10^{174}$	$1.28 * 10^{11}$
50	50	20	$2.11 * 10^{469}$	$5.0 * 10^{12}$

The table for the producer/consumer system (Fig.4)

## 7. DEADLOCK CHECKING USING NET UNFOLDING

In this part we describe a deadlock detection technique based on unfoldings of Petri nets. It's easy to see from Theorem 1 that we have a deadlock in a coloured Petri net if and only if we have the corresponding deadlock in its occurrence net which doesn't contain any cutoff point. The same can be told about the reachability property considering the occurrence net as an acyclic and 1-safe net system, where all places of  $\text{Min}(N)$  are initially marked. Since in this case the occurrence net is an acyclic and 1-safe net system, we obtain the results proposed in [12] for an ordinary n-safe net to be true also for CPN.

McMillan in [11] has also proposed the technique of deadlock checking. In this paper this technique will not be considered. The comparative study of these two methods can be found in [12].

In an ordinary PN, if the marking  $M$  is reachable from the initial marking  $M_0$  by firing of the sequence  $\sigma$  of transitions, then we can write the following equation:  $M(p) = M_0(p) + \sum_{(t \in \bullet p)} v(\sigma, t) F(t, p) - \sum_{(t \in p \bullet)} v(\sigma, t) F(p, t)$ , where the number of occurrences of a transition  $t$  in  $\sigma$  is denoted by  $v(\sigma, t)$ . This can be written in the matrix form:  $M = M_0 + N\sigma$ , where  $\sigma = (v(\sigma, t_1) \dots v(\sigma, t_m))$  is called the Parikh vector of  $\sigma$ , and  $N$  denotes the incidence matrix  $P \times T$  given by  $N(p, t) = F(p, t) - F(t, p)$ . The following system is called a marking equation.

Variables:  $X$ : vector of integer

$$M = M_0 + NX$$

$$X \geq 0$$

**Proposition 7.1.** ([12]): Let  $N$  be an acyclic net system and let  $M$  be a marking.  $M$  is reachable from the initial marking  $M_0$  if and only if the marking equation has a nonnegative solution.

**Proposition 7.2.** ([12]): Let  $N$  be a 1-safe and acyclic net system. A vector  $M$  is a solution of the following system of inequalities if and only if  $M$  corresponds to a dead reachable marking of  $N$ :

$$\begin{aligned} &\text{Variables } M, X: \text{ integer;} \\ &M = M_0 + N \cdot X \\ &\sum (p \in \bullet t) M(p) \leq |\bullet t| - 1 \quad \text{for all } t \in T \\ &X \geq 0 \end{aligned}$$

**Theorem 2.** Let  $N_I = (S_1, P_1, T_1, A_1, N_1, C_1, G_1, E_1, I_1)$  be a CPN and  $\text{Unf}(N_I) = (N_2, h, \varphi, \eta)$ , where  $N_2 = (P_2, T_2, N_2)$ , be its  $GT_0$  (GT, EQ) - unfolding.  $N_I$  is deadlock-free if and only if the following system of inequalities has no solution:

$$\begin{aligned} &\text{Variables } M, X : \text{ vector of integer;} \\ &M = \text{Min}(N_2) + N_2 \cdot X \\ &\sum (p \in \bullet t) M(p) \leq |\bullet t| - 1 \quad \text{for all } t \in T_2 \\ &X(t) = 0 \quad \text{for all } t \in \text{Cutoffs} \\ &X \geq 0 \end{aligned}$$

**Proof:** If  $M$  is a deadlock then, accordingly to Theorem 1(3), there exists a configuration  $C$  such that  $\text{Mark}(C) = M$  and  $C$  contains no cutoffs. From Theorem 1(2) we have  $C \subseteq C' \Rightarrow \text{Mark}(C') \in [\text{Mark}(C)]$ . Therefore there is no  $C'$  such that  $C \subseteq C'$  and  $\text{Cut}(C)$  is a deadlock in the occurrence net.  $\text{Cut}(C)$  is a reachable marking in OPN. So, we have that existence of a deadlock in  $N_I$  implies existence of a deadlock in  $N_2$ . If  $\text{Cut}(C)$  is a deadlock in OPN and  $C$  contains no cutoffs, then  $\text{Mark}(C)$ , being a reachable marking, is a deadlock in  $N_I$ . Otherwise if  $\text{Mark}(C) \xrightarrow{(t,b)} M_1$  then, using the maximality of the considered branching process, we have that  $\exists (t,b) \in T_2 \mid \bullet(t,b) \subseteq \text{Cut}(C)$  (there are no cutoffs in  $C$ ) and  $C \subseteq C \cup \{(t,b)\}$  and we come to a contradiction. The cutoff transitions are not the solutions of the inequalities.

Therefore, we can identify dead markings of  $N_I$  with the solutions of the above system of inequalities. ■

The technique works for all three types of unfoldings because we make a deadlock decision using the marking and the next transitions.

## 8. UNFOLDINGS WITH SYMMETRY AND EQUIVALENCE

In this part the technique of equivalence and symmetry specifications for coloured Petri nets (CPN) will be applied to the unfolding nets of CPN. It will be shown how to generate the maximal branching process and its finite prefixes for a given CPN under the equivalence or symmetry specifications. All symmetry and equivalence specifications are taken from [9].

**Definition 8.1.** Let  $N$  be a CPN and  $\mathbf{M}$  and BE be the sets of all markings and binding elements of  $N$ . The pair  $(\approx_M, \approx_{BE})$  is called an *equivalence specification* if  $\approx_M$  is an equivalence on  $\mathbf{M}$  and  $\approx_{BE}$  is an equivalence on BE.  $M_\approx$  and  $BE_\approx$  are the *equivalence classes*. We say  $(b, M) \approx (b^*, M^*)$  iff  $b \approx_{BE} b^*$  and  $M \approx_M M^*$ . Let us have  $X \subseteq \mathbf{M}$  and  $Y \subseteq M_\approx$ , then we can define:

$[X] = \{M \in \mathbf{M} \mid \exists x \in X : M \approx_M x\}$  - the set of all markings equivalent to the markings from  $X$ .

$[Y] = \{M \in \mathbf{M} \mid \exists y \in Y : M \in y\}$  - the set of all markings from the classes from  $Y$ .

**Definition 8.2.** The equivalence specification is called *consistent* if for all  $M_1, M_2 \in [[M_0]]$  we have  $M_1 \approx_M M_2 \Rightarrow [Next(M_1)] = [Next(M_2)]$ , where  $Next(M_i) = \{(b, M) \in BE \times \mathbf{M} \mid M_i[b] M\}$ .

**Definition 8.3.** Let a CP-net and a consistent equivalence specification  $(\approx_M, \approx_{BE})$  be given. The *occurrence graph with equivalence classes*, also called the *OE-graph*, is the directed graph  $OEG = (V, A, N)$  where:

- (1)  $V = \{C \in M_\approx \mid C \cap [M_0] \neq \emptyset\}$ .
- (2)  $A = \{(C_1, B, C_2) \in V \times BE_\approx \times V \mid \exists (M_1, b, M_2) \in C_1 \times B \times C_2 : M_1[b] M_2\}$ .
- (3)  $\forall a = (C_1, B, C_2) \in A : N(a) = (C_1, C_2)$ .

**Proposition 8.1.**( [9] ) For a consistent equivalence specification, the OE-graph satisfies the following properties:

- (1) Each finite occurrence sequence  $M_1[b_1]M_2[b_2]M_3 \dots M_n[b_n]M_{n+1}$ , where  $M_i \in [M_0]$  and  $b_i \in BE$  for  $i \in 1..n$ , has a *matching direct path*  
 $[M_1] ([M_1], [b_1], [M_2]) [M_2] ([M_2], [b_2], [M_3]) [M_3] \dots$   
 $\dots [M_n] ([M_n], [b_n], [M_{n+1}]) [M_{n+1}]$ .
- (2) Each finite direct path  
 $C_1 (C_1, B_1, C_2) C_2 (C_2, B_2, C_3) C_3 \dots C_n (C_n, B_n, C_{n+1}) C_{n+1}$  has, for each marking  $M_1 \in C_1$ , a *matching occurrence sequence*

$M_1[b_1]M_2[b_2]M_3\dots M_n[b_n]M_{n+1}$ , where  $M_i \in C_i$  for all  $i \in 2..n+1$  and  $b_i \in B_i$  for all  $i \in 1..n$ .

In the next definitions, the set of all markings is denoted by  $\mathbf{M}$ .

**Definition 8.4.** A *symmetry specification* for a CP-net is a set of functions  $\Phi \subseteq [\mathbf{M} \cup \text{BE} \rightarrow \mathbf{M} \cup \text{BE}]$  such that:

- (1)  $(\Phi, \bullet)$  is an algebraic group.
- (2)  $\forall \phi \in \Phi: (\phi|\mathbf{M}) \in [\mathbf{M} \rightarrow \mathbf{M}] \ \& \ (\phi|\text{BE}) \in [\text{BE} \rightarrow \text{BE}]$ .

Each element of  $\Phi$  is called a *symmetry*.

**Definition 8.5.** A symmetry specification  $\Phi$  is *consistent* iff the following properties are satisfied for all symmetries  $\phi \in \Phi$ , all markings  $M_1, M_2 \in [M_0]$  and all binding elements  $b \in \text{BE}$ :

- (1)  $\phi(M_0) = M_0$ .
- (2)  $M_1[b]M_2 \Leftrightarrow \phi(M_1) [\phi(b)] \phi(M_2)$ .

**Proposition 8.2.** ([9])

- (1) The relation  $\approx_M \subseteq \mathbf{M} \times \mathbf{M}$  defined by  $M \approx_M M^* \Leftrightarrow \exists \phi \in \Phi : M = \phi(M^*)$  is an equivalence relation on the set of all markings  $\mathbf{M}$ .
- (2) The relation  $\approx_{\text{BE}} \subseteq \text{BE} \times \text{BE}$  defined by  $b \approx_{\text{BE}} b^* \Leftrightarrow \exists \phi \in \Phi : b = \phi(b^*)$  is an equivalence relation on the set of all binding elements  $\text{BE}$ .

**Proposition 8.3.** ([9]) Each consistent symmetry specification  $\Phi$  determines a consistent equivalence specification  $(\approx_M, \approx_{\text{BE}})$ .

Now the cutoff criteria will be defined for a CPN with a symmetry specification  $\Phi$  or equivalence specification  $\approx$ . We call the finite prefix of the maximal branching process of CPN obtained by using new cutoff criteria an *unfolding with symmetry*  $Unf^\Phi$  or *unfolding with equivalence*  $Unf^\approx$ . Since accordingly to propositions 8.2 and 8.3 we can consider the symmetry specification as the case of equivalence specifications, we give the cutoff definitions only for equivalence specifications.

**Note:** Taking into consideration the consistency of the regarded equivalence, we can conclude that it is sufficient to consider the classes  $[M]$  in our definitions of cutoffs. The classes of binding elements will be obtained in a natural way.

**Definition 8.6.** Let  $N$  be a coloured Petri net and  $\text{MBP}(N)$  be its maximal branching process. Then

- (1) a transition  $t \in T$  of an OPN is a  $GT_{\approx_0}$ -cutoff if there exists  $t_0 \in T$  such that  $\text{Mark}([t]) \approx \text{Mark}([t_0])$  and  $[t_0] \subset [t]$ .

- (2) a transition  $t \in T$  of an OPN is a  $GT^\approx$ -cutoff if there exists  $t_0 \in T$  such that  $\text{Mark}([t]) \approx \text{Mark}([t_0])$  and  $\llbracket t_0 \rrbracket < \llbracket t \rrbracket$ .
- (3) a transition  $t \in T$  of an OPN is a  $EQ^\approx$ -cutoff if there exists  $t_0 \in T$  such that
  - (a)  $\text{Mark}([t]) \approx \text{Mark}([t_0])$
  - (b)  $\llbracket t_0 \rrbracket = \llbracket t \rrbracket$
  - (c)  $\neg(t \parallel t_0)$
  - (d) there are no EQ-cutoffs among  $t'$  such that  $t' \parallel t_0$  and  $\llbracket t' \rrbracket \leq \llbracket t_0 \rrbracket$ .

The notion  $\text{Unf}^\approx$  is used for any type of unfoldings.

**Proposition 8.4.**  $EQ^\approx$ -unfolding  $\leq GT^\approx$ -unfolding  $\leq GT_0^\approx$ -unfolding.

**Proof:** We can apply the ideas of proposition 4.2 changing the symbols “=” into “ $\approx$ ”.

**Theorem 3.** Let  $N$  be a CPN and  $\approx = (\approx_M, \approx_{BE})$  be a consistent equivalence on  $N$ . Then for an  $\text{Unf}^\approx(N)$  we have:

- (1)  $[M] \in \llbracket [M_0] \rrbracket \Leftrightarrow \exists C$ , a configuration of  $\text{Unf}^\approx(N) \mid \text{Mark}(C) \approx_M M$ .
- (2)  $C \subseteq C'$  and  $C'$  is a configuration of  $\text{Unf}^\approx(N) \Leftrightarrow \llbracket \text{Mark}(C') \rrbracket \in \llbracket \llbracket \text{Mark}(C) \rrbracket \rrbracket$

**Proof:**

(1)  $[M] \in \llbracket [M_0] \rrbracket \Leftrightarrow$  we have a sequence

$$\llbracket [M_0] \rrbracket (\llbracket [M_0] \rrbracket, [b_1], [M_1]) [M_1] (\llbracket [M_1] \rrbracket, [b_2], [M_2]) [M_2] \dots [M_{n-1}] (\llbracket [M_{n-1}] \rrbracket, [b_n], [M_n]) [M_n],$$

where  $[M_n] = [M]$ . From proposition 8.1(2),  $\exists M_i', b_i', i=1..n$ , such that  $M_0[b_1'] M_1'[b_2'] M_2' \dots M_{n-1}'[b_n'] M_{n+1}'$ , and  $\forall i=1..n M_i' \approx_M M_i$  and  $b_i' \approx_{BE} b_i$ .

Let us consider the configuration  $C' = \{b_1' \dots b_n'\} \mid \text{Mark}(C') = M_n' \approx_M M_n$ .

Due to proposition 2.5 we only need to consider  $EQ^\approx$ -unfolding.

We have 3 possibilities:

(a)  $C'$  contains no cutoffs (in particular,  $C = \emptyset$ ).  $C' \in \text{Conf}(\text{Unf}^\approx(N))$  and  $\text{Mark}(C') \approx_M M$ .

(b,c)  $C'$  contains a (GT- or EQ-) cutoff.

Let us choose the set of minimal configurations  $\{C_j \mid j=1..k\}$ , such that  $\forall j \text{Mark}(C_j) \approx \text{Mark}(C')$ . Consider the situation when none of them belongs to the  $EQ^\approx$ -unfolding. We can apply here the considerations of Theorem 1(3) after changing the symbols “=” into “ $\approx$ ” and applying the transitivity of “ $\approx$ ” relation.

Thus we obtain the configuration  $C''$  such that  $\text{Mark}(C'') \approx M$  and  $C''$  is a configuration of  $\text{EQ}^\approx\text{-unfolding}(N)$ .

(2) From the safety of MBP,  $C \subseteq C' \Rightarrow \text{Mark}(C') \in [\text{Mark}(C)]$ , i.e.,  $M_1[b_1]M_2 \dots M_n[b_n]M_{n+1}$ , where  $M_1 = \text{Mark}(C)$  and  $M_{n+1} = \text{Mark}(C')$ .

On applying the proposition 8.1(1), we get  $[\text{Mark}(C')] \in [ [\text{Mark}(C)] ]$ . ■

Figures 5,6 show us the dining philosophers CPN and its unfolding with the symmetry specification.

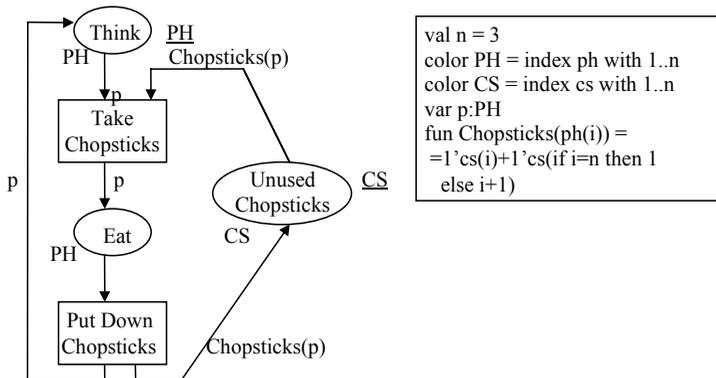


Fig. 5 The dining Philosophers

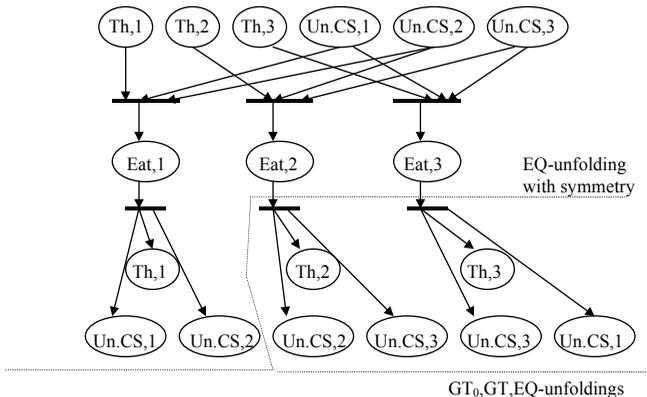


Fig. 6 The unfolding with symmetry

As is seen from the picture, using the symmetry we obtain a much smaller occurrence net when constructing the EQ<sup>~</sup>-Unfolding.

To avoid an impression gained from this example that given an equivalence specification it is inefficient to use unfoldings (while the size of the state space of the respective OE-graph (O-graph with equivalence) is just two states), we consider the following example. The next table compares these two possibilities by considering the producer/consumer example (see chapter 6 or [9]). As an equivalence specification, the abstraction from the data  $d_1$  and  $d_2$  is considered. For a graph this means that we can put  $nd=1$ . In the case of unfolding we obtain the additional  $(d-1)$  transitions in the part PA. The whole size of EQ-unfolding with this equivalence is  $UnfSize^{\sim} = |PA|*np*nc + |CA|*np*nc + |PB-1|*np*nc + |PC+1|*(np*nc)^2+(d-1)$ .

The table below represents the results.

p	C	d	O-graph	Consistent OE-graph	Unfolding's Size	EQ-unfolding with equivalence
1	1	1	72	72	19	19
2	2	2	$9.03 * 10^6$	$7.32 * 10^4$	$1.4 * 10^3$	137
3	3	3	$1.58 * 10^{13}$	$1.68 * 10^8$	$3.3 * 10^4$	533
5	5	5	$4.5 * 10^{27}$	$3.67 * 10^{15}$	$1.95 * 10^6$	$3.5 * 10^3$
10	10	5	$1.32 * 10^{59}$	$1.43 * 10^{36}$	$3.1 * 10^7$	$5.14 * 10^4$
10	10	10	$7.8 * 10^{70}$	$1.43 * 10^{36}$	$5.0 * 10^8$	$5.14 * 10^4$
20	20	20	$1.73 * 10^{174}$	$5.97 * 10^{82}$	$1.28 * 10^{11}$	$8.0 * 10^5$
50	50	20	$2.11 * 10^{469}$	$2.32 * 10^{243}$	$5.0 * 10^{12}$	$3.1 * 10^7$

**Important note:** Let us notice that we should generate EQ-unfolding when using the symmetry specification. In the case of equivalence specifications in general we can use all types of unfoldings.

The next proposition gives us the possibility to find a deadlock of  $N$  considering its unfolding with an equivalence.

**Proposition ( [9] ).** Let  $M$  be a marking of a CPN, then  $(M \text{ is dead}) \Leftrightarrow ([M] \text{ is terminal i.e. } \neg \exists M' \mid [M'] \in [ [M] ] )$ .

It follows from the proposition that we can apply the technique from chapter 7 to unfoldings with equivalence.

Unfortunately we can't say  $(M \text{ is reachable}) \Leftrightarrow ([M] \text{ is reachable})$ . Using an unfolding with equivalence, we may declare only the reachability of markings represented in  $Unf^{\sim}(N)$ .

## CONCLUSION

In this paper the unfolding technique proposed by McMillan in [11] and developed in later works is applied to coloured Petri nets as they are described in [8, 9]. The technique is formally transferred, two algorithms and three finitization criteria are considered. We require a CPN to be finite,  $n$ -safe and to contain only finite sets of colours.

The unfolding is a finite prefix of the maximal branching process. To truncate the occurrence net, we consider three cutoff criteria in the paper. To construct the finite prefix, two algorithms of unfolding generation are formally transferred from the ordinary PN's area.

One of the novelties of the paper is application of the unfolding technique to CP-nets with symmetry and equivalence specifications as they are represented in [9].

The size of unfolding is often much smaller than the size of the reachability graph of a PN. Using the criteria, such as EQ-cutoff criterion, and symmetry or equivalence specifications in the unfolding generation, we can (as it is shown in the last chapter) additionally reduce the size of unfolding.

In the future it is planned to construct finite unfoldings of Timed CPN as they are described in [8, 9], using the technique of unfolding with equivalence, and also to make all the necessary experiments with unfoldings of coloured Petri nets.

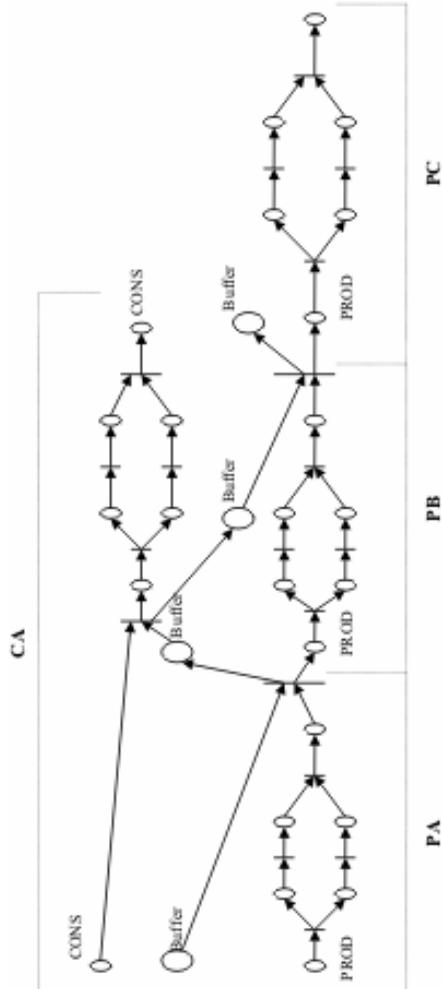
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Unfolding of Producer-Consumer net for  $np=nc=mb=nd=1$



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